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JC961 U.S. PTO

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Approvedforusethrough10/31/2002.OMB0651-0032
U.S.PatentandTrademarkOffice,U.S.DEPARTMENTOF COMMERCE
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PTO/SB/05(03-01)

UTILITY
PATENTAPPLICATION
TRANSMITTAL

(Onlyformewnonprovisionalapplicationsunder37CFR1.53(b))

AttorneyDocketNo.	3838-33689
FirstInventor	Rogelio Delgado, Jr.
Title	LOBE CONTROL FOR AN ACOUSTIC HORN
ExpressMailLabelNo	EL657041445US

JC971 U.S. PTO
09/843437
04/26/01

APPLICATIONELEMENTS

SeeMPEPchapter600concerningutilitypatentapplicationcontents.

ADDRESSTO: AssistantCommissionerforPatents
BoxPatentApplication
Washington,DC20231

- ☒ FeeTransmittalForm(e.g.,PTO/SB/17)
(Submitoriginalandaduplicateforfeeprocessing)
- ☒ Applicantclaimssmallentitystatus.
See37CFR1.27.
- ☒ Specification[TotalPages]
(preferredarrangementssetforthbelow)
-Descriptivetitleoftheinvention
-CrossReferencetoRelatedApplications
-StatementRegardingFedsponsoredR&D
-Referencetosequencelisting,atable,
oracomputerprogramlistingappendix
-Backgroundoftheinvention
-BriefSummaryoftheinvention
-BriefDescriptionoftheDrawings(iffiled)
-DetailedDescription
-Claim(s)
-AbstractoftheDisclosure
- ☒ Drawing(s) (35U.S.C.113) [TotalSheets - ☒ OathorDeclaration [TotalPages a. ☒ Newlyexecuted(originalorcopy)
Copyfromapriorapplication(37CFR1.63(d))
(forcontinuation/divisionalwithBox18completed)
i. ☐ DELETIONOFINVENTOR(S)
Signedstatementattacheddeletinginventor(s)
namedinthepriorapplication,see37CFR
1.63(d)(2)and1.33(b).
- ☐ ApplicationDataSheet.See37CFR1.76

- ☐ OMorCD-Rinduplicate,largeablefor
ComputerProgram(Appendix)
- Nucleotideand/orAminoAcidSequenceSubmission
(ifapplicable,allnecessary)
a. ☐ ComputerReadableForm(CRF)
b. SpecificationSequenceListingon:
i. ☐ OMorCD-R(2copies);or
ii. ☐ per
c. ☐ Statementsverifyingidentityofabovecopies

ACCOMPANYINGAPPLICATIONPARTS

- ☐ AssignmentPapers(coversheet&document(s))
- ☐ 37CFR3.73(b)Statement ☒ Powerof
(whenthereisanassignee) Attorney
- ☐ EnglishTranslationDocument(ifapplicable)
- ☐ InformationDisclosure ☐ CopiesofIDS
Statement(IDS)/PTO-1449 Citations
- ☐ PreliminaryAmendment
- ☒ ReturnReceiptPostcard(MPEP503)
(Shouldbespecificallyitemized)
- ☐ CertifiedCopyofPriorityDocument(s)
(ifforeignpriorityisclaimed)
- ☐ NonpublicationRequestunder35U.S.C.122
(b)(2)(B)(i).ApplicantmustattachformPTO/SB/35
oritsequivalent.
- ☐ Other:

18.If aCONTINUINGAPPLICATION, checkappropriatebox,andsupplytherequisiteinformationbelowandina preliminary amendment,
orinanApplicationDataSheetunder37CFR1.76:☒ Continuation ☐ Divisional ☐ Continuation-in-part(CIP)ofpriorapplicationNo.:

Priorapplicationinformation:

Examiner

GroupArtUnit:

ForCONTINUATIONORDIVISIONALAPPSonly:Theentiredisclosureofthepriorapplication,fromwhichanoathordeclarationissuppliedunder
Box5b, isconsideredapartofthedisclosureoftheaccompanyingcontinuationordivisionalapplicationandisherebyincorporatedbyreference.
Theincorporation canonly berelieduponwhenaportionhasbeeninadvertentlyomittedfromthesubmittedapplicationparts.

19.CORRESPONDENCEADDRESS

☐ CustomerNumberorBarCodeLabel

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or ☒ Correspondenceaddressbelow

Name	Daniel L. Boots, Esq.				
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Name(Print/Type)	Daniel L. Boots	RegistrationNo.(Attorney/Agent)	33,159
Signature	<i>Daniel L. Boots</i>	Date	4-26-01

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FEETRANSMITTAL for FY2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 561.00

Complete if Known

Application Number	Unknown
Filing Date	Herewith
First Named Inventor	Rogelio Delgado, Jr.
Examiner Name	Unknown
Group Art Unit	Unknown
Attorney Docket No.	3838-33689

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:
- Deposit Account Number: 50-0410
- Deposit Account Name: BINGHAM SUMMERS WELSH & SPILMAN
- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☒ Applicant claims small entity status. See 37 CFR 1.27
2. ☒ Payment Enclosed:
- ☒ Check ☐ Credit Card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
		101710201355		Utility filing fee	\$355
		106320206160		Design filing fee	
		107490207245		Plant filing fee	
		108710208355		Reissue filing fee	
		11415021475		Provisional filing fee	
SUBTOTAL(1)					(\$ 355.00)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
34	-20**= 14	\$9	\$126
5	-3**= 2	\$40	\$80
Multiple Dependent Claims			

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description
		103182039		Claims in excess of 20
		1028020240		Independent claims in excess of 3
		104270204135		Multiple dependent claim, if not paid
		1098020940		**Reissue independent claims over original patent
		110182109		**Reissue claims in excess of 20 and over original patent

SUBTOTAL(2) (\$) 206.00

**number previously paid, if greater. For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
		10513020565		Surcharge-late filing fee or oath	
		1275022725		Surcharge-late provisional filing fee or coversheet	
		139130139130		Non-English specification	
		1472,5201472,520		For filing a request for ex parte reexamination	
		112920*112920*		Requesting publication of SI prior to Examiner action	
		1131,840*1131,840*		Requesting publication of SI after Examiner action	
		11511021555		Extension for reply within first month	
		116390216195		Extension for reply within second month	
		117890217445		Extension for reply within third month	
		1181,390218695		Extension for reply within fourth month	
		1281,890228945		Extension for reply within fifth month	
		119310219155		Notice of Appeal	
		120310220155		Filing a brief in support of an appeal	
		121270221135		Request for oral hearing	
		1381,5101381,510		Petition to institute a public use proceeding	
		14011024055		Petition to revive-unavoidable	
		1411,240241620		Petition to revive-unintentional	
		1421,240242620		Utility issue fee (or reissue)	
		143440243220		Design issue fee	
		144600244300		Plant issue fee	
		122130122130		Petition to the Commissioner	
		1235012350		Processing fee under 37 CFR 1.17(q)	
		126180126180		Submission of Information Disclosure Stmt	
		5814058140		Recording each patent assignment per property (times number of properties)	
		146710246355		Filing a submission after final rejection (37 CFR § 1.129(a))	
		149710249355		For each additional invention to be examined (37 CFR § 1.129(b))	
		179710279355		Request for Continued Examination (RCE)	
		169900169900		Request for expedited examination of a design application	
Other fee (specify)					

*Reduced by Basic Filing Fee Paid

SUBTOTAL(3) (\$) 0.00

SUBMITTED BY

Name (Print Type)	Daniel L. Boots	Registration No. (Attorney Agent)	33,159	Telephone	317-635-8900
Signature		Date	4-26-01		

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Date of Deposit April 26, 2001, I certify that
these papers are being deposited with the United States
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service under 37 CFR 1.10(a) on the date indicated
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A handwritten signature in black ink, reading "Lisa D. Harden". The signature is written in a cursive style with a long horizontal flourish at the end.

Lisa D. Harden

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